



ADDRESSING SUPERSLOPPINESS

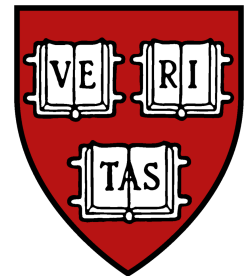
Let you be bound to me

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Indexicals *I* and *you*

■ Fixity Thesis (Kaplan 1977)

I: speaker in the actual speech act

$\llbracket \text{me} \rrbracket^{c,w,g} = s_c$

you: addressee in the actual speech act

$\llbracket \text{you} \rrbracket^{c,w,g} = a_c$

■ Challenges

○ Bound readings of *I/you*

(1) **Only I** did **my** homework. (nobody else did their homework)

(Heim 1991)

○ Indexical shift

(2) **Hɛseni** va kɛ **ɛz** dɛwletia

[Zazaki]

Hesen.OBL said that **I** rich.be-PRES

Hesen said that *he* is rich.

(Anand & Nevins 2004)

Goal

- Hypothesis:

dependency between the two context parameters **speaker** and **addressee**

- Evidence:

You can be bound by I and vice versa
(in English and other languages)

- (3) i. “I love you”
ii. “**I do too**”.

→ **I love my interlocutor**

Outline

- Novel empirical facts (VP-ellipsis and focus constructions)

- Failure of previous analyses of indexicals

- Analysis
 - Possible **binding** between *I* and *you*
 - *I* and *you* can be understood as **descriptions**

Data: VP-ellipsis

- (3) i. (*Romeo to Juliet*) “I love you”.
- ii. (*Juliet to Romeo*) “I do too”.

- a. “I love you too”. [Juliet loves Romeo]

- b. “I love me/myself too”. [Juliet loves Juliet]

Data: VP-ellipsis

- (3) i. (*Romeo to Juliet*) “I love you”.
- ii. (*Juliet to Romeo*) “I do too”.

a. “I love you too”. [Juliet loves Romeo]

b. “I love me/myself too”. [Juliet loves Juliet]

- (4) Julie loves her mother. Liz does too.

a. Julie λi [_{VP} loves her_i mother]. Liz does λi [_{VP} love ~~her_i mother~~], too.

Elided VP: $\lambda x. x$ love x 's mother (sloppy)

b. Julie_i [_{VP} loves her_i mother. Liz does [_{VP} love ~~her_i mother~~], too.

Elided VP: $\lambda x. x$ love $g(i)$'s mother (strict)

Data: VP-ellipsis

- (3) i. (*Romeo to Juliet*) “I love you”.
- ii. (*Juliet to Romeo*) “I do too”.

a. “I love you too”. [Juliet loves Romeo]

b. “I love me/myself too”. [Juliet loves Juliet] (strict)
Elided VP: $\lambda x. x \text{ love } Juliet$

- (4) Julie loves her mother. Liz does too.

a. Julie λi [_{VP} loves her_i mother]. Liz does λi [_{VP} love her_i mother], too.
Elided VP: $\lambda x. x \text{ love } x\text{'s mother}$ (sloppy)

b. Julie_i [_{VP} loves her_i mother. Liz does [_{VP} love her_i mother], too.
Elided VP: $\lambda x. x \text{ love } g(i)\text{'s mother}$ (strict)

Data: VP-ellipsis

- (3) i. (*Romeo to Juliet*) “I love you”.
- ii. (*Juliet to Romeo*) “I do too”.

a. “I love you too”. [Juliet loves Romeo] (<i>supersloppy</i>) ? Elided VP: $\lambda x. x \text{ love } x$?

b. “I love me/myself too”. [Juliet loves Juliet] (*strict*)
Elided VP: $\lambda x. x \text{ love } Juliet$

- (4) Julie loves her mother. Liz does too.

a. Julie λi [_{VP} loves her_i mother]. Liz does λi [_{VP} love her_i mother], too.
Elided VP: $\lambda x. x \text{ love } x's \text{ mother}$ (*sloppy*)

b. Julie_i [_{VP} loves her_i mother. Liz does [_{VP} love her_i mother], too.
Elided VP: $\lambda x. x \text{ love } g(i)'s \text{ mother}$ (*strict*)

Data: VP-ellipsis

- (5) i. (*Lucy to her mother*) “You don’t understand **me**”.
ii. (*Lucy’s mother to Lucy*) “**You** don’t either”.

- a. “**You** don’t understand **me** either”.
[**Lucy** does not understand **her mother**] (*supersloppy*)

- b. “**You** don’t understand **yourself** either”.
[**Lucy** does not understand **Lucy**] (*strict*)

Data: Focus constructions

- (6) (*Tom* to *Sue*, in a ballroom dancing class)

“Only **I** make **you** swirl”.

- a. No other dancer makes **his partner** swirl. *(supersloppy)*
- b. No other dancer makes **Sue** swirl. *(strict)*

- (7) Only **Tom** makes **his** partner swirl.

- a. Only **Tom_i** λi [_{VP} makes **his_i** partner swirl].
Alternative VP: $\lambda x. x$ makes *x's partner swirl* *(sloppy)*
- b. Only **Tom** [_{VP} makes **his** partner swirl].
Alternative VP: $\lambda x. x$ makes *Tom's partner swirl* *(strict)*

Data: Focus constructions

■ (8) (*Sue to Tom, in a ballroom dancing class*)

“Only you didn’t make me fall over”.

a. All the other dancers made **their partner** fall over. *(supersloppy)*

b. All the other dancers made **Sue** fall over. *(strict)*

Experimental study

- Questionnaire on Qualtrics
- 28 native English speakers
- 32 English sentences
- Task: to which extent the strict/supersloppy interpretations are accessible based on a continuous scale 0-100
- Results for supersloppy readings:

(3) “I love you.” “I do too.”	[79%]
(5) “You don’t understand me”. “You don’t either”.	[64%]
(6) “Only I make you swirl”.	[65%]
(8) “Only you didn’t make me fall over”.	[65%]

Previous mention of similar data

■ Rebuschi (1997: 173): “quirky dependence”

(1) a. [A to B] — Je t'ai vu. ('I saw you.')

a'. [B to A] — Moi aussi. (=‘I saw *YOU* too.’ / ≠‘I saw myself too.’)³

■ Bevington (1998: 84): “switch”

(3) a. Speaker 1: I₁ love you₂

b. Speaker 2: I₂ do, too

■ Chung (2000): “mysterious sloppy reading”

(4) Jack: I don't want to be divorced from you.

Jill: Well, I do __!

(a) [want to be divorced from you]

Existing analyses of indexicals

- They fail to capture the data:
 - **Variable binding** undergenerates
 - **Context shifting** overgenerates
 - **Complex focus** over- and undergenerates

Existing analyses of indexicals

- They fail to capture the data:
 - **Variable binding** undergenerates

(1) **Only I** did **my** homework. (Heim 1991, cf. Partee 1989)

- a. Nobody_i else did their_i homework. $\lambda x. x$ did x's homework. (*sloppy*)
- b. Nobody else did my homework. $\lambda x. x$ did my homework. (*strict*)

General proposal (Heim 2008, Kratzer 2009, Sudo 2012, a.o.):

I and *you* denote **variables** just like *(s)he*.

→ this cannot explain binding of *you* by *I* (and vice versa) since *I* and *you* do **not** have **the same person feature**.

[Existing analyses of indexicals]

■ They fail to capture the data:

○ **Variable binding** undergenerates

- **Feature deletion** (von Stechow 2003)

I and *you* are variables and their *phi*-features can be deleted under binding.

- **Feature transmission** (Kratzer 2009)

I and *you* can be minimal pronouns born featureless, which inherit *phi*-features from their binder at PF.

- **No presuppositional *phi*-features in focus** (Heim 2008a)

I and *you* are variables with presuppositional *phi*-features, which are ignored in focus alternatives.

- **Person information in binding** (Sudo 2012)

I and *you* are variables and the person information is encoded in the system of semantic binding.

Existing analyses of indexicals

- They fail to capture the data:
 - **Context shifting** overgenerates

In some languages, indexicals can shift in **attitude contexts**.

→ existence of “monsters” (Schlenker 2003, Anand 2006, a.o.)

(2) **Heseni** va ke **ez** dewletia [Zazaki]
Hesen.OBL said that **I** rich.be-PRES
*Hesen said that **he** is rich.*

(Anand & Nevins 2004)

Can this be extended to our cases?

Existing analyses of indexicals

- They fail to capture the data:
 - **Context shifting** overgenerates

Hypothesis: manipulation of character (in Kaplan's terms)

- **character copy** in ellipsis

- (3) i. (*Romeo to Juliet*) "I love you"
ii. (*Juliet to Romeo*) "I do too". $\lambda c. \lambda x. x \text{ love } a_c$

- **quantification over character** in *only*-constructions

- (6) (*Tom to Sue, in a ballroom dancing class*)
"Only I make you swirl". $\lambda c. s_c \text{ make } a_c \text{ swirl}$

Existing analyses of indexicals

- They fail to capture the data:
 - **Context shifting** overgenerates

But character manipulation **overgenerates**:

- (9) i. (*Juliet to Romeo*) “I love you”. $\lambda c. \lambda x. x \text{ love } a_c$
ii. (*Romeo to Juliet*) “Count Pâris does too”.
[12%] ***Count Pâris does love you [i.e. Juliet] too.**
- (10) i. (*Romeo to Juliet*) “Count Pâris loves you”. $\lambda c. \lambda x. x \text{ love } a_c$
ii. (*Juliet to Romeo*) “Rosaline does not”.
[11%] ***Rosaline does not love you [i.e. Romeo].**

Note: (9) and (10) also show that hypothesizing strict phonological identity conditions (copy of the exact same words in the ellipsis site) overgenerates too.

Existing analyses of indexicals

- They fail to capture the data:
 - **Context shifting** overgenerates

But character manipulation **overgenerates**:

(11) *(Tom on the phone with friends living in different cities)*

Only **I** like it **here**.

~~$\lambda c. s_c \text{ like } I_c$~~

[44%] ***None of Tom's friends like the city where they live.**

[Existing analyses of indexicals]

- They fail to capture the data:
 - **Context shifting** overgenerates

Character manipulation **overgenerates**.

Indexical abstraction operators (Cable 2005, Kratzer 2009)

undergenerate:

each operator only manipulates one parameter (speaker or addressee).

$[[\lambda s. [XP \dots t_s \dots]]]$ $\langle s, a, w \rangle, w, g = \lambda x. [[[XP \dots t_s \dots]]]$ $\langle x, a, w \rangle, w, g$

$[[\lambda a. [XP \dots t_a \dots]]]$ $\langle s, a, w \rangle, w, g = \lambda x. [[[XP \dots t_a \dots]]]$ $\langle s, x, w \rangle, w, g$

Existing analyses of indexicals

- They fail to capture the data:
 - **Complex focus** over- and undergenerates

Complex focus?

(6) (*Tom* to *Sue*, in a ballroom dancing class)
“**Only I_F make you_F swirl**”.

(12) John **only** introduced **Bill_F** to **Sue_F**. (Krifka 1991: 21)

You does not have to be focused.

(13) Seul moi **te** fais tourner. [French]
Only I **you_{CL}** make swirl
Only I make you swirl. (supersloppy, strict)

[Existing analyses of indexicals]

- They fail to capture the data:
 - **Complex focus** over- and undergenerates

Cf. *vice versa* clefts (Hedberg 2013)

(14) “Anna: So, what’s the case you’re working on?

Robert: Nothing I need bother you with now. **It’s YOU who called ME**, remember?”

[General Hospital, ABC, 6/21/89]

Proposal

■ **Dependency** between speaker and addressee

- *I* and *you* can be **descriptions** (e-type pronouns)

You = my interlocutor

I = your interlocutor

- *I* and *you* can **bind each other**

Cf. bound readings of *I/you*

Proposal: Ingredient 1

I and *you* can be bound variables

■ C-command requirement

(15) i. (*Romeo* to *Juliet*) “The man *I* hate loves *you*”.

ii. (*Juliet* to *Romeo*) “The woman *I* hate does not”.

[[27%] *love *you*)

■ **Supersloppy** readings arise in the same environments as **bound readings** of *I/you*: **ellipsis, focus**

→ focus somehow licenses binding of indexicals

I and you can be bound variables

■ Presuppositional and multidimensional approach

- ***Phi*-features** are **presupposition triggers**

(Heim & Kratzer 1998, Schlenker 2003, Heim 2008)

$\llbracket \text{me}_i \rrbracket^{c,w,g} = g(i)$ presupposition: $g(i) = s_c$

$\llbracket \text{you}_i \rrbracket^{c,w,g} = g(i)$ presupposition: $g(i) = a_c$

- ***Phi*-features** are **disregarded in focus alternatives**

(Heim 2008b, Jacobson 2012, Sauerland 2013)

(1) **Only I** did **my** homework.

VP in focus alternatives: $\lambda x. x$ **did x's homework.**

(16) **Johnny** did his homework, but **I** didn't.

(Heim 2008b:45)

Elided VP: $\lambda x. x$ **did x's homework.**

Proposal: Ingredient 2

I and you as e-type pronouns

■ E-type pronouns

(Evans 1977, Cooper 1979, Heim 1990, Heim & Kratzer 1998, Elbourne 2001, a.o.)

(17) a. **Every host** bought just one bottle of wine and served **it** with the dessert. *(Heim & Kratzer 1998: 290)*

b. *it* = the bottle **he** bought

$[[it]]^{c,w,g} = \text{the } \mathbf{R} \text{ pro}$

free function $R_{\langle e, et \rangle} = \lambda x. \lambda y. y$ is a bottle that x bought

I and *you* as e-type pronouns

■ *I* and *you* as specific e-type pronouns

Idempotent function F relates the two discourse participants speaker and addressee: $F = \{ \langle s, a \rangle, \langle a, s \rangle \}$ “interlocutor”

- (3) i. “I love you”
ii. “I do too”.

$\lambda x. x \text{ love } F(x)$

$\llbracket \text{you} \rrbracket^{c,w,g} = \llbracket F(s) \rrbracket^{c,w,g} = a$

you: “my interlocutor”

- (5) i. “You don’t understand me”.
ii. “You don’t either”.

$\lambda x. x \text{ understand } F(x)$

$\llbracket I \rrbracket^{c,w,g} = \llbracket F(a) \rrbracket^{c,w,g} = s$

I: “your interlocutor”

I and *you* as e-type pronouns

■ *I* and *you* as specific e-type pronouns

Range restriction of F: **discourse participants**

→ specific dependency between *I* and *you*

(9) i. “I love you”.

ii. “**He** does too (*love you/*love his interlocutor).” ~~$\lambda x. x \text{ love } F(x)$~~

(10) i. “**He** loves you”.

~~$\lambda x. x \text{ love } F(x)$~~

ii. “She does not (*love you/*love her interlocutor)”

(18) **Only Tom** makes **you** swirl.

~~$\lambda x. x \text{ make } F(x) \text{ swirl}$~~

*The other dancers don’t make their partner swirl.

(6) Only **I** made **you** swirl. **Nobody else** did (*make his partner swirl).

vs. Only **I** did **my** homework. **Nobody else** did (do his homework).

[*I* and *you* as e-type pronouns]

■ *I* and *you* as specific e-type pronouns

Range restriction of F: **discourse participants**

→ specific dependency between *I* and *you*

Cf. Regular e-type construals are not available for *I* and *you*:

(19) [*Context: the speaker is Michael's spouse*]

* This year everyone was supposed to bring **their spouse**, but only MICHAEL brought **me** (~~his spouse~~).

(Jacobson 2012: 34)

[*I* and *you* as e-type pronouns]

■ *I* and *you* as specific e-type pronouns

Range restriction of F: **discourse participants**
→ **reciprocity** between *I* and *you*

(20) [60%] **I** saw **you** before **you** did (see **me**). $\lambda x. x \text{ see } F(x)$

(21) (?) **I** love **you** more than **you** do (love **me**). $\lambda x. x \text{ love } F(x)$

Cf. Wechsler (2010): analysis of *I* and *you* as semantic mirror-images for speaker and hearer

I and *you* as e-type pronouns

■ *I* and *you* as **specific e-type pronouns**

F can be pragmatically defined

○ Default: **interlocutor**

(3) i. “I love you”.

ii. “I do too (love **my interlocutor**)”.

$\lambda x. x \text{ love } F(x)$

I and *you* as e-type pronouns

■ *I* and *you* as **specific e-type pronouns**

F can be pragmatically defined

○ Other **contextually salient relations**

(6) “Only I make you swirl”.

$\lambda x. x$ make **F(x)** swirl

Nobody else makes **his dance partner** swirl.

Note: given the range restriction of F (discourse participants), this implies that focus alternatives can be evaluated with respect to different contexts (unless *you* is focused too).

■ Cf. *I* and *you* as **descriptions** (Nunberg 1993, Stokke 2010)

(22) *Condemned prisoner:* **I** am traditionally granted a last wish.

(23) *Chess teacher giving instructions to a student who has just played 4.NxP*
According to all the textbooks, **you** often get in trouble with that move.

Conclusion

- *I* and *you* are **intrinsically related** by a lexically available function *F* (“interlocutor” or contextual relation)
 - availability of functional representations of *I* and *you*
 - availability of reciprocal binding of *I* and *you*
 - availability of supersloppy readings
- This is a further argument **against the Fixity Thesis**: an indexical is not necessarily directly dependent on the context, but can depend on another indexical.
- The two context parameters speaker and addressee are not independent.
 - grammatical structure of context?

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WHERE DISCOVERIES BEGIN

THANK YOU!

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